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PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
US 60SD806-1

In Re Application Of: Erik Oddmund Einset and Michael H. Zimmerman

Serial No.  
10/001,573

Filing Date  
11/20/2001

Examiner

Group Art Unit  
1754

Title: LOW OXYGEN CUBIC BORON NITRIDE AND ITS PRODUCTION

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

**GROUP 1700**

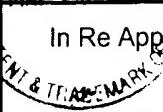
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**LOW OXYGEN CUBIC BORON NITRIDE AND ITS PRODUCTION**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 07-0860 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \_\_\_\_\_
- Credit any overpayment.
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*Karen A. Hayden*

Signature of Person Mailing Correspondence

Karen A. Hayden

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*Hanh T. Pham*  
Signature

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Dated: MAY , 2003

**GROUP 1700**

*MAY 19 2003*

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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Erik Oddmund Einset and Michael H. Zimmerman

Docket No.

US 60SD806-1

Serial No.  
10/001,573Filing Date  
11/20/2001

Examiner

Group Art Unit  
1754Invention: **LOW OXYGEN CUBIC BORON NITRIDE AND ITS PRODUCTION****GROUP 1700**

MAY 19 2003

**RECEIVED**I hereby certify that this **Transmittal of IDS; PTO1449; Search Report and References**  
(Identify type of correspondence)is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
Assistant Commissioner for Patents, Washington, D.C. 20231 on **MAY 1, 2003**  
(Date)**Karen A. Hayden**

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature of Karen A. Hayden, which appears to be "Karen A. Hayden".

(Signature of Person Mailing Correspondence)

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| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i><br><br>MAY 15 2003 |     |  |                   | Docket Number (Optional)<br><b>US 60SD806-1</b>   | Application Number<br><b>10/001,573</b> |          |                            |    |
|---|-----|--|-------------------|---|---|----------|----------------------------|----|
|   |     |  |                   | Applicant(s)<br><b>EO Einset and MH Zimmerman</b> |   |          |                            |    |
|   |     |  |                   | Filing Date<br><b>11/20/2001</b>                  | Group Art Unit<br><b>1754</b>           |          |                            |    |
| <b>U.S. PATENT DOCUMENTS</b>  |     |  |                   |   |   |          |                            |    |
| *EXAMINER INITIAL   | REF | DOCUMENT NUMBER  | DATE              | NAME  | CLASS                                   | SUBCLASS | FILING DATE IF APPROPRIATE |    |
|   |     | <b>US 3701826</b>  | <b>1972-10-31</b> | <b>FLEISCHER et al.</b>                           |   |          |                            |    |
| <b>GROUP 1700</b><br><i>May 14 2003</i><br><b>RECEIVED</b>  |     |  |                   |   |   |          |                            |    |
| <b>FOREIGN PATENT DOCUMENTS</b>   |     |  |                   |   |   |          |                            |    |
|   | REF | DOCUMENT NUMBER  | DATE              | COUNTRY   | CLASS                                   | SUBCLASS | Translation                |    |
|   |     |  |                   |   |   |          | YES                        | NO |
|   |     | <b>WO 99 25468 A</b>   | <b>1999-05-27</b> | <b>World Patent</b>                               |   |          | ✓                          |    |
|   |     | <b>EP 1 074 530 A</b>  | <b>2001-02-07</b> | <b>Europe</b>                                     |   |          | ✓                          |    |
| <b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>                  |     |  |                   |   |   |          |                            |    |
|   |     | <b>DATABASE WPI; Section Ch. Week 199830, Derwent Publications Ltd., London, GB; Class L02, AN 1998-346222, XP002238233 &amp; RU 2 098 388 C (TEMP STOCK CO), 10 December 1997 (1997-12-10) abstract</b> |                   |   |   |          |                            |    |
|   |     |  |                   |   |   |          |                            |    |
| EXAMINER  |     |  |                   | DATE CONSIDERED                                   |   |          |                            |    |